

# Dane County Contract Cover Sheet

Revised 06/2021

Res 252  
Significant

<b>Dept./Division</b>	Dane County District Attorney		
<b>Vendor Name</b>	Wisconsin Department of Justice	<b>MUNIS #</b>	2155
<b>Brief Contract Title/Description</b>	VAWA Stop Grant through DOJ		
<b>Contract Term</b>	10/1/21-9/30/22		
<b>Contract Amount</b>	\$125,000.00		

<b>Contract #</b> Admin will assign	14548
<b>Type of Contract</b>	
<input type="checkbox"/>	Dane County Contract
<input type="checkbox"/>	Intergovernmental
<input type="checkbox"/>	County Lessee
<input type="checkbox"/>	County Lessor
<input type="checkbox"/>	Purchase of Property
<input type="checkbox"/>	Property Sale
<input checked="" type="checkbox"/>	Grant
<input type="checkbox"/>	Other

Department Contact Information		Vendor Contact Information	
<b>Name</b>	Marlys Howe	<b>Name</b>	Wisconsin Department of Justice
<b>Phone #</b>	608-284-6888	<b>Phone #</b>	608-266-1221
<b>Email</b>	Marlys.Howe@da.wi.gov	<b>Email</b>	
<b>Purchasing Officer</b>			


<b>Purchasing Authority</b>	<input type="checkbox"/> \$11,000 or under – Best Judgment (1 quote required)
	<input type="checkbox"/> Between \$11,000 – \$37,000 (\$0 – \$25,000 Public Works) (3 quotes required)
	<input type="checkbox"/> Over \$37,000 (\$25,000 Public Works) (Formal RFB/RFP required) <b>RFB/RFP #</b>
	<input type="checkbox"/> Bid Waiver – \$37,000 or under (\$25,000 or under Public Works)
	<input type="checkbox"/> Bid Waiver – Over \$37,000 (N/A to Public Works)
	<input checked="" type="checkbox"/> N/A – Grants, Leases, Intergovernmental, Property Purchase/Sale, Other



<b>MUNIS Req.</b>	<b>Req #</b>	<b>Org:</b> DACTA 80534	<b>Obj:</b>	<b>Proj:</b>	
	<b>Year</b>	<b>Org:</b> DACTA 32481	<b>Obj:</b>	<b>Proj:</b>	
		<b>Org:</b>	<b>Obj:</b>	<b>Proj:</b>	

Budget Amendment	
<input type="checkbox"/>	A Budget Amendment has been requested via a Funds Transfer or Resolution. Upon addendum approval and budget amendment completion, the department shall update the requisition in MUNIS accordingly.

<b>Resolution Required if contract exceeds \$100,000 (\$40,000 PW)</b>	<input type="checkbox"/> Contract does not exceed \$100,000 (\$40,000 Public Works)	<b>Res #</b>	252
	<input checked="" type="checkbox"/> Contract exceeds \$100,000 (\$40,000 Public Works) – resolution required.		<b>Year</b>
	<input checked="" type="checkbox"/> A copy of the Resolution is attached to the contract cover sheet.		

CONTRACT MODIFICATIONS – Standard Terms and Conditions		
<input checked="" type="checkbox"/> No modifications.	<input type="checkbox"/> Modifications and reviewed by:	<input type="checkbox"/> Non-standard Contract

APPROVAL
<b>Dept. Head / Authorized Designee</b>


APPROVAL – Contracts Exceeding \$100,000	
<b>Director of Administration</b>	<b>Corporation Counsel</b>
	

APPROVAL – Internal Contract Review – Routed Electronically – Approvals Will Be Attached			
<b>DOA:</b>	<b>Date In:</b> 12/2/21	<b>Date Out:</b>	<input checked="" type="checkbox"/> Controller, Purchasing, Corp Counsel, Risk Management

## Goldade, Michelle

---

**From:** Goldade, Michelle  
**Sent:** Tuesday, December 7, 2021 8:37 AM  
**To:** Hicklin, Charles; Gault, David; Rogan, Megan; Lowndes, Daniel  
**Cc:** Stavn, Stephanie; Oby, Joe  
**Subject:** Contract #14548  
**Attachments:** 14548.pdf

<b>Tracking:</b>	<b>Recipient</b>	<b>Read</b>	<b>Response</b>
	Hicklin, Charles	Read: 12/7/2021 8:55 AM	Approve: 12/7/2021 8:56 AM
	Gault, David	Read: 12/7/2021 10:44 AM	Approve: 12/8/2021 10:17 AM
	Rogan, Megan	Read: 12/7/2021 8:44 AM	Approve: 12/7/2021 8:44 AM
	Lowndes, Daniel		Approve: 12/7/2021 8:53 AM
	Stavn, Stephanie	Read: 12/7/2021 9:29 AM	
	Oby, Joe		

Please review the contract and indicate using the vote button above if you approve or disapprove of this contract.

Contract #14548  
Department: District Attorney  
Vendor: Wi Dept of Justice  
Contract Description: Accept Violence Against Women Act Grant (Res 252)  
Contract Term: 10/1/21 – 9/30/22  
Contract Amount: \$125.00

### *Michelle Goldade*

Administrative Manager  
Dane County Department of Administration  
Room 425, City-County Building  
210 Martin Luther King, Jr. Boulevard  
Madison, WI 53703  
PH: 608/266-4941  
Fax: 608/266-4425  
TDD: Call WI Relay 711

Please Note: I currently have a modified work schedule...I am in the office Mondays and Wednesdays and working remotely Tuesdays, Thursdays and Fridays in accordance with COVID 19 response guidelines.

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31

**2021 RES-252**

**AUTHORIZING TO ACCEPT STOP VIOLENCE AGAINST WOMEN ACT  
GRANT FUNDING FOR THE POSITION OF SPECIALIZED REGIONAL  
RESOURCE PROSECUTOR FOR THE DANE COUNTY DISTRICT  
ATTORNEY'S OFFICE, GRANT NUMBER 2019-VA-02B-16877**

The Stop Violence Against Women Act provided a grant through the Department of Justice, State of Wisconsin, to the Dane County District Attorney Office for a 1.0 FTE Domestic Violence Regional Resource Prosecutor position, Grant Number **2019-VA-02B-16877**

This funding is from October 1, 2021 through September 30, 2022. The purpose of this federally funded grant is to provide a Domestic Violence Regional Resource Prosecutor who is a resource for Region 2, in the state of Wisconsin that includes 17 surrounding counties. This position will carry a specialized Domestic Violence (DV) and Sexual Assault (SA) caseload and also be attending meetings related to DV/SA issues as a liaison from our office to the community.

**NOW, THEREFORE, BE IT RESOLVED** that the Dane County District Attorney's Office is hereby authorized to accept \$125,000 from the Department of Justice and the County Executive is authorized to execute the necessary documents, and

**BE IT FURTHER RESOLVED** that the 2021 Operating Budget be amended to increase account line "Domestic Violence Grant-STOP Revenue" DACTA 80534 by \$125,000 and increase account line "Domestic Violence Grant-STOP Expense" DACTA 32481 by \$125,000;

**BE IT FINALLY RESOLVED** that all unexpended funds and unrecognized revenues are carried forward from the 2021 into the 2022 budget period.

**WISCONSIN DEPARTMENT OF JUSTICE**  
**STOP Violence Against Women Formula Grant Program**  
**Grant Summary Sheet**

Subgrantee: **Dane County**

Project Name: **STOP Violence Against Women Act/Dane County Specialized Regional Prosecutor**

Address: **Dane County District Attorney's Office, 215 South Hamilton Street, Madison, Wisconsin, 53703-3293**

Project Director: **Marlys Howe**

Phone number: **608-284-6888**

Signing Official: **County Executive Joe Parisi, County Executive, Dane County, 210 Martin Luther King Jr. Blvd., Madison Wisconsin 53709-3340**

---

Amount of Federal Award: **\$ 125,000**

Amount of Match: **\$42,000.00**

Amount of Total Award: **\$167,000**

---

**SUMMARY OF GRANT:**

The Specialized Regional Prosecutor would continue to have a sensitive crime caseload to provide a consistent and victim centered response to these complex cases. VAWARP will provide technical assistance to the 17 counties in Region 2 related to the areas of DV, SA, Stalking and Strangulation. The VAWARP will continue to be a resource to ADA's, Law Enforcement and Victim Witness not only in Dane County but also to all of Region 2. The VAWARP will coordinate and collaborate with members of the community advocacy programs to better serve victims of sensitive crimes. The VAWARP will attend SART, DV/SA CCR and other community based meetings to ensure that victim voices and experiences are heard and properly responded to in Dane County. The VAWARP will attend SPET and other relevant trainings. The VAWARP will attend all required trainings/meetings through DOJ.

---

**OCVS Grants Staff:**

Program Manager: **Shira Phelps**

Phone number: **608-267-5250**

Financial Grants Specialist: **Tanya Herranz**

Phone number: **608-264-7657**

Grants Support Specialist: **Leah Varnadoe**

Phone number: **608-266-0936**



STATE OF WISCONSIN  
DEPARTMENT OF JUSTICE

Josh Kaul  
Attorney General

Room 114 East, State Capitol  
PO Box 7857  
Madison, WI 53707-7857  
(608) 266-1221  
TTY 1-800-947-3529

November 3, 2021

Marlys Howe, Domestic Violence Unit Manager  
Dane County District Attorney's Office  
215 South Hamilton Street  
Madison, WI 53703-3293

Re: STOP VAWA/Dane County Specialized Regional Prosecutor DOJ Grant Number  
2019-VA-02B-16877


Dear Marlys Howe:

The Wisconsin Department of Justice, Office of Crime Victim Services (OCVS) has approved a grant award to Dane County in the amount of \$125,000.00 to support Dane County's Dane County Specialized Regional Prosecutor. These funds are from OCVS STOP (Services, Training, Officers, Prosecutors) Violence Against Women Formula Grant Program available through the U.S. Department of Justice Office on Violence Against Women.

To accept this award, please have the authorized official sign the *Signatory Page, and Attachments A, B, C, and D*. The Project Director should sign the Acknowledgement Notice. Please return a copy of the award with the required signatures to OCVS within 30 days. A copy should be maintained for your records. Funds cannot be released until the signed award document is received.

As project director, you will be responsible for all reporting requirements outlined in the grant award and ensuring that funds are administered according to the approved application materials and certifications. Please refer to the FAQ documents on our website for additional information and grant guidelines. Congratulations on the receipt of this award. We look forward to a collaborative working relationship with you.

Sincerely,

  
Joshua L. Kaul  
Attorney General

JLK:LAV:aku  
Enclosures  
cc: Joe Parisi



STATE OF WISCONSIN  
DEPARTMENT OF JUSTICE

Josh Kaul  
Attorney General

Room 114 East, State Capitol  
PO Box 7857  
Madison, WI 53707-7857  
(608) 266-1221  
TTY 1-800-947-3529

GRANT AWARD  
STOP VIOLENCE AGAINST WOMEN FORMULA GRANT PROGRAM  
Dane County Specialized Regional Prosecutor  
2019-VA-02B-16877

The Wisconsin Department of Justice (DOJ) hereby awards to **Dane County**, (hereinafter referred to as the **Subgrantee**), the amount of **\$125,000** for programs or projects pursuant to the federal Violence Against Women Act of 1994.

This grant may be used until **September 30, 2022** for the programs consistent with the budget in Attachment A, and subject to any limitations or conditions set forth in Attachment B, C, and D.

The Subgrantee shall administer the programs or projects for which this grant is awarded in accordance with the applicable rules, regulations, and conditions of the Wisconsin Department of Justice. The submitted application is hereby incorporated as reference into this award.

*This grant shall become effective, and funds may be obligated (unless otherwise specified in the award document Attachments) when the Subgrantee signs and returns one copy of this grant award to the Wisconsin Department of Justice.*

BY: Joshua L. Kaul  
Joshua L. Kaul  
Attorney General

11/03/2021  
Date

The (Subgrantee), **Dane County**, hereby signifies its acceptance of the above-described grant on the terms and conditions set forth above or incorporated by reference therein.

SUBGRANTEE: **Dane County**

BY: \_\_\_\_\_  
NAME: **Joe Parisi**  
TITLE: **County Executive**

\_\_\_\_\_  
Date

Completion of this signed grant award within 30 days of the date of the award is required to release federal funds.

**ATTACHMENT A**

**WISCONSIN DEPARTMENT OF JUSTICE  
STOP VIOLENCE AGAINST WOMEN FORMULA GRANT PROGRAM  
APPROVED AWARD SUMMARY and ACKNOWLEDGEMENT NOTICE**

Subgrantee: Dane County  
 Project Title: Dane County Specialized Regional Prosecutor CFDA #16.588  
 Grant Period: From October 1, 2021 To September 30, 2022  
 Grant Number: 2019-VA-02B-16877 Program Area: 2B

**APPROVED STOP VIOLENCE AGAINST WOMEN PROGRAM BUDGET**

		<b>Federal &amp; Match</b>
Personnel		\$127,000
Employee Benefits		\$35,000
Travel (Including Training)		\$5,000
Equipment		
Supplies & Operating Expenses		
Consultants		
Other		
<b>FEDERAL TOTAL</b>	<b>\$125,000</b>	
<b>LOCAL CASH MATCH</b>	<b>\$42,000</b>	
<b>LOCAL IN-KIND MATCH</b>		
<b>TOTAL APPROVED BUDGET</b>	<b>\$167,000</b>	<b>\$167,000</b>

*See your Egrants Application for details*

<b>FUNDING SOURCE</b>		<b>PERCENTAGE DISTRIBUTION</b>	
Federal Share	<u>\$125,000</u>	Law Enforcement	_____ %
Local Share	<u>\$42,000</u>	Prosecution	<u>100</u> %
	<i>Cash</i> _____	Victim Services	_____ %
	<i>In-Kind</i> _____	Judicial	_____ %
<b>TOTAL APPROVED FUNDING</b>	<b>\$167,000</b>	<b>Total</b>	<b>100 %</b>

## REPORTING REQUIREMENTS

*All OCVS Program Reports, Financial Reports (FSRs), and Modifications must be submitted in Egrants (<https://egrants.doj.state.wi.us/egmis/login.aspx>)*

**PROGRAM REPORTS** gather information on the status of your project. Program Reports are due to OCVS semi-annually:

**4/30/2022**

**10/30/2022 Final**

NOTE: Reports due 04/30 includes October, November, December, January, February, and March program activity.  
Reports due 01/30 includes April, May, June, July, August, and September program activity.

**FINANCIAL REPORTS** serve two functions: to report fiscal status and to request funds. Reports may be submitted monthly (due by the 30<sup>th</sup> of the following month) but, at a minimum, are due to DOJ:

**1/30/2022**

**4/30/2022**

**7/30/2022**

**10/30/2022 Final**

NOTE: Reports due 01/30 includes October, November and December program activity.  
Reports due 04/30 includes January, February and March program activity.  
Reports due 07/30 includes April, May and June program activity.  
Reports due 10/30 includes July, August and September program activity.

**MODIFICATIONS: Any modifications are due 30 days before the end of the project period. You must contact the VAWA Grants Administrator *prior* to submitting a budget modification.**

### **EEOP CERTIFICATION FORM**

The Office of Justice Programs requires ALL subgrantees complete the EEOP Certification form and submit it to the Office for Civil Rights. **Please use the new EEO Reporting Tool found here: <https://ojp.gov/about/ocr/eeop.htm>.** A copy of the certification completed online must be submitted to OCVS.

The Office of Justice Programs, Office for Civil Rights launched an online Equal Employment Opportunity (EEO) Program Reporting Tool in December 2016 which allows for a streamlined submission process. The upgraded system enables subgrantees to create an account, then prepare and submit your EEO certification form and, if required, create and submit an EEO Utilization Report. Please complete your EEO certification form or, if required, submit an EEO Utilization Report through the EEO Program Reporting Tool: <https://ocr-eeop.ncjrs.gov>.

### **SYSTEM FOR AWARD MANAGEMENT (SAM) REGISTRATION RENEWAL**

All subgrantees must maintain registration on the SAM database. This is the repository for standard information about federal financial assistance applicants, subrecipients, and subrecipient. Applicants must update or renew their SAM registration on a yearly basis. Information to update your entity records can be accessed at <http://www.sam.gov/>.

### **ANNUAL SUBGRANTEE PROGRESS REPORT**

All STOP Program subgrantees are required by the U.S. Department of Justice Office on Violence Against Women to submit an Annual Progress Report at the end of the calendar year. Subgrantees will receive the forms and instructions from the grants specialist, prior to end of calendar year. More information can be found here: <https://www.vawamei.org/grant-program/stop-formula-grant-program/>.



**ACKNOWLEDGEMENT**

The materials referenced above were received and reviewed by the appropriate members of this organization. I also acknowledge receipt of the Grant Award and any attached Special Conditions, as well as receipt of the General Conditions which were previously provided in the Instructions for Filing and Application. I understand that this grant is awarded subject to our compliance with all Conditions, Regulations, and Obligations described in the above materials.

11/5/21  
Date

Marlys K. Howe, Project Director  
Marlys Howe

**ATTACHMENT B**

**STATE and FEDERAL AWARD CONDITIONS**

Dane County  
Dane County Specialized Regional Prosecutor  
2019-VA-02B-16877

**WISCONSIN DEPARTMENT OF JUSTICE  
AWARD CONDITIONS**

**SPECIAL CONDITIONS REQUIRING A SPECIFIC RESPONSE:**

- All positions funded partially or completely from this award must attend a training on crime victim compensation every three years. Contact OCVS for training dates and locations or visit: <https://www.doj.state.wi.us/ocvs/training-opportunities-professionals>.

**WI DOJ AWARD CONDITIONS**

**Dane County agrees by acceptance of this grant award to the following general award conditions:**

**Allowable Expenses/Activities**

- The Subgrantee shall make expenditures with project funds (federal funds and local match) only for items contained in the approved project budget.
- All legal services provided under this subgrant shall be consistent with federal rules and state guidelines.
- Federal Violence Against Women Act funds may not be used for fund raising activities.
- All out of state training must be pre-approved by OCVS before making travel arrangements. This subgrant award agreement does not constitute pre-approval.
- Subgrantees should refer to the U.S. Department of Justice financial guide (<https://ojp.gov/financialguide/DOJ/>) and the STOP Frequently Asked Questions for further guidance. Subgrantees must comply with all provisions found in these documents.

**Reimbursements**

- Grant funds will be disbursed on a **reimbursement** basis either monthly or quarterly upon submission of a fiscal report in Egrants. All reimbursements are based on actual, allowable, paid expenditures. Subgrantee is responsible for maintaining accurate accounting records that support expenditures for this grant project.
- Reimbursement for travel will be limited in accordance with state rates.
  - In-State Travel: a maximum 51¢ per mile, \$82.00/night for lodging (\$90.00 for lodging in Milwaukee, Racine, and Waukesha Counties), and \$38.00/day for meals (\$8 breakfast, \$10 lunch, \$20 dinner).
  - Out-of-State Travel: The in-state maximum reimbursement rate per night shall also apply to out-of-state travel, except for lodging in higher cost cities as determined by DPM. Section F – 5.02 F-16 For lodging maximums in higher cost cities, refer to the most recent issue of the DPM Bulletin entitled

“Maximum Reimbursement for Lodging in High-Cost Out-of-State Cities.”  
see <https://dpm.wi.gov/Bulletins/DPM-0502-CC-TSA.pdf>; a maximum of \$50.00/day for meals (\$10 breakfast, \$15 lunch, \$25 dinner).

- Reimbursement payments will be held for late program reports.
- Please note that requests for reimbursements may take 6 to 8 weeks for processing and payment. Agencies can help OCVS expedite the process by submitting fiscal reports by the deadline and ensuring that all calculations are correct and sufficient explanation of expenses is provided.

### **Modifications**

- Budget changes in excess of 10% of the amount in the approved budget category, alterations to the scope of the project, adjustments affecting a budget category that was not included in the original budget, or changes to indirect budget category require justification to and approval from OCVS.
- Budget modifications take effect on the date that the Grant Adjustment Notice (GAN) is signed and approved by OCVS. No new expenses can be incurred until the GAN is approved.
- Personnel changes or additions require a modification in Egrants. Modifications must be submitted during the month or pay period in which expenses are being incurred.
- Budget modifications can only apply to those allowable expenses within the same financial reporting period and cannot to be used to retroactively charge off previously unreported expenses in order to fully expend the VAWA subgrant award.
- Requests for budget modifications during the final reporting period must be submitted to your grant manager via Egrants **at least 30 days prior to the end of your project period/grant cycle.**
- Modifications are also required for:
  - changes to or additions of personnel listed in the budget
  - changes in Project Director, Financial Officer, or Signing Official
  - changes in project scope

### **Match**

- Not-for-profit, non-governmental service providers are exempt from match.

### **Records Management**

- If the grant award budget contains wages, the subgrantee’s records must be maintained in a form that, at any time, an auditor or DOJ representative would be able to identify the use of the Federal and Matching funds. These records should include information such as employee name, rate of pay; hours worked, and amount of time dedicated to the grant project.
- The agency accounting system and financial records will accurately account for funds awarded to them. Accounting systems must ensure that federal award funds are not commingled with funds from other sources. Funds specifically budgeted or received for one project may not be used to support another (including provided match).

### **Contractual/Consultants**

- All procurement transactions, whether negotiated or competitively bid and without regard to dollar value, shall be conducted in a manner to provide maximum open and free competition.
- All sole source procurement requests shall be submitted to DOJ for review and approval.

- Fees for independent consultants may not exceed the federal rate of \$650.00 per eight-hour day, or \$81.25 per hour, unless **prior approval** is received from DOJ. Consultants, whether independent or employed by a commercial or nonprofit organization, who are contracted through a competitive bidding process are not subject to the maximum consultant rate threshold.
- Consultant/Contractual agreements must be submitted within 30 days of grant award or approved Grant Award Adjustment (GAN). Expenses cannot be incurred until the agreement is reviewed and approved by OCVS.

### General

- All subrecipients will be required to send the Project Director and Financial Officer identified on the grant to an OCVS Grantee Orientation.
- It is a federal requirement that the subrecipient permit the pass-through entity (Wisconsin Department of Justice) and auditors to have access to the subrecipient's records and financial statements as necessary for the pass-through entity to meet the requirements of 2 C.F.R. §200.
- All subgrantees must maintain registration on the System for Award Management (SAM).
- To be allowable under a grant program, costs must be paid or obligated for services provided during the grant period. If obligated by the end of the grant period, payment must be made within 30 days of the grant period ending date.
- All program income generated as a direct result of this award shall be deemed program income and reported quarterly to DOJ on the Program Income/Expenditure Report form. Program income must be used for the purposes and under the conditions applicable to the award and must be expended during the grant period. Program income includes, but is not limited to, collection of registration fees, tuition fees, interest earned and royalties.
- **Emergency Victim Assistance Funds**  
If applicable, the grant recipient shall establish and submit to OCVS within 30 days of the award date, or modification award date, written policies specifying the criteria and operation of its emergency financial assistance fund. These policies shall include:
  - The types of expenses for which emergency funds may be used;
  - Costs covered by this fund shall not supplant funds or services otherwise generally available from other community resources or for which a charge is not normally imposed;
  - Fiscal and program procedures, controls and reports. It must be verified that the expenses are a direct result of the crime. The subgrantee shall retain all source documents relating to fund disbursements;
  - Specific information regarding individual recipients, the type of crime, the need for and use of such emergency financial assistance.
- **Property and/or Equipment Inventory Report**  
OCVS will reimburse the grant recipient for the cost of approved Equipment and/or Property in the same manner as other expenditures. The following information must be submitted in Egrants to OCVS in an Inventory Report at the same time the reimbursement is requested in the Fiscal Report:
  - The date and the actual cost of the acquisition
  - The name of the title holder
  - A serial number or other unique identification numbers
  - The address where each item will be located
  - Any minor changes from the information contained in the original request. (Any significant or major deviations (e.g. item cost, use, etc.) from the information originally provided require advance approval from OCVS)
- **Board of Directors – Training, Support & Technical Assistance**

Grant recipient shall ensure that members of the agency's Board of Directors receive training on board responsibilities, including but not limited to: roles and responsibilities, agency oversight, and fund development. In addition, board members should seek support and technical assistance from external experts and organizations, as identified by OCVS, in order to maintain service levels and capacity of the agency.

If you accept the terms and conditions of the award, the *Designated Signing Official* must sign the award documents in the appropriate locations, and initial both sections of Attachment B. The *Project Director* is responsible for the grant reporting requirements and must sign the Acknowledgement Notice. **Please return the signed award documents to the Department of Justice within 30 days of the receipt date. Retain a copy of the award documents for your records.** DOJ will not disburse funds or modify your award unless we have received these signed award documents.

*If you do not accept the terms and conditions of the award, notify the VAWA Grants Administrator immediately.*

INITIAL HERE: MKH

### **U.S. Department of Justice CERTIFIED STANDARD ASSURANCES**

As the Applicant of the federal award, the Wisconsin Department of Justice must agree to all U.S. Department of Justice Certified Standard Assurances, and therefore all subgrantees must agree as well. Please note that the Wisconsin Department of Justice Award Conditions and award contract will include additional requirements.

Please read the Certified Standard Assurances: <https://ojp.gov/funding/Apply/Resources/StandardAssurances.pdf>. To agree to the Certified Standard Assurances, initial below. If you have questions about individual Assurances, please contact OCVS.

INITIAL HERE: MKH

## 2019 FEDERAL OVW AWARD CONDITIONS

As the Applicant of the federal award, the Wisconsin Department of Justice must agree to all the 2019 OVW Award Conditions, and therefore all subgrantees must agree as well. Please note that the Wisconsin Department of Justice Award Conditions and award contract will include additional requirements.

Please read the 2019 OVW Award Conditions and initial below. If you have questions about individual conditions, please contact OCVS.

### **1. Requirements of the award; remedies for non-compliance or for materially false statements**

The conditions of this award are material requirements of the award. Compliance with any certifications or assurances submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Failure to comply with any one or more of these award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or a certification or assurance related to conduct during the award period -- may result in the Office on Violence Against Women ("OVW") taking appropriate action with respect to the recipient and the award. Among other things, OVW may withhold award funds, disallow costs, or suspend or terminate the award. OVW also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729- 3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

### **2. Applicability of Part 200 Uniform Requirements and Compliance with DOJ Grants Financial Guide**

The recipient agrees to comply with the Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the Department of Justice (DOJ) in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements"), and the current edition of the DOJ Grants Financial Guide as posted on the OVW website, including any updated version that may be posted during the period of performance. The recipient also agrees that all financial records pertinent to this award, including the general accounting ledger and all supporting documents, are subject to agency review throughout the life of the award, during the close-out process, and for three years after submission of the final Federal Financial Report (SF-425) or as long as the records are retained, whichever is longer, pursuant to 2 C.F.R. 200.333, 200.336.

### **3. Requirement to report potentially duplicative funding**

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope grant adjustment notice (GAN) to eliminate any inappropriate duplication of funding.

#### **4. Requirements related to System for Award Management and unique entity identifiers**

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov>. This includes applicable requirements regarding registration with SAM, as well as maintaining current information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OVW website at <https://www.justice.gov/ovw/award-conditions> (Award Condition: Requirements related to System for Award Management (SAM) and unique entity identifiers), and are incorporated by reference here.

#### **5. Employment eligibility verification for hiring under the award**

The recipient must ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. § 1324a(a)(1) and (2). The details of the recipient's obligations under this condition are posted on the OVW website at <https://www.justice.gov/ovw/award-conditions> (Award Condition: Employment eligibility verification for hiring under award), and are incorporated by reference here.

#### **6. Requirements to report actual or imminent breach of personally identifiable information (PII)**

The recipient (and any subrecipient at any tier) must have written procedures in place to respond in the event of an actual or imminent breach (as defined in OMB M-17-12) if it (or a subrecipient)-- 1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of personally identifiable information (PII) (as defined in 2 C.F.R. 200.79) within the scope of an OVW grant-funded program or activity, or 2) uses or operates a Federal information system (as defined in OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OVW Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

#### **7. Unreasonable restrictions on competition under the award; association with federal government**

No recipient (or subrecipient, at any tier) may (in any procurement transaction) discriminate against any person or entity on the basis of such person or entity's status as an "associate of the federal government" (or on the basis of such person or entity's status as a parent, affiliate, or subsidiary of such an associate), except as expressly set out in 2 C.F.R. 200.319(a) or as specifically authorized by DOJ. The details of the recipient's obligations under this condition are posted on the OVW website at <https://www.justice.gov/ovw/award-conditions> (Award Condition: Unreasonable restrictions on competition under the award; association with federal government), and are incorporated by reference here.

#### **8. Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OVW authority to terminate award)**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OVW web site at <https://www.justice.gov/ovw/award-conditions> (Award Condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OVW authority to terminate award)), and are incorporated by reference here.

#### **9. Determinations of suitability to interact with participating minors**

SCOPE. This condition applies to this award if it is indicated – in the application for the award (as approved by DOJ) (or in the application for any subaward at any tier), the DOJ funding announcement (solicitation), or an

associated federal statute – that a purpose of some or all of the activities to be carried out under the award (whether by the recipient or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status. The details of this requirement are posted on the OVW web site at <https://www.justice.gov/ovw/award-conditions> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

#### **10. Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears on the OVW website at <https://www.justice.gov/ovw/conference-planning>.

#### **11. OVW Training Guiding Principles**

The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OVW Training Guiding Principles for Grantees and Subgrantees, available at <https://www.justice.gov/ovw/resources-and-faqs-grantees>.

#### **12. Effect of failure to address audit issues**

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

#### **13. Potential imposition of additional requirements**

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

#### **14. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination – 28 C.F.R. Part 42**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

#### **15. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination – 28 C.F.R. Part 38**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38, specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38 also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.



**16. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

**17. Restrictions on "lobbying" and policy development**

In general, as a matter of federal law, federal funds may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, in order to avoid violation of 18 U.S.C. § 1913. The recipient, or any subrecipient ("subgrantee") may, however, use federal funds to collaborate with and provide information to federal, state, local, tribal and territorial public officials and agencies to develop and implement policies and develop and promote state, local, or tribal legislation or model codes designed to reduce or eliminate domestic violence, dating violence, sexual assault, and stalking (as those terms are defined in 34 U.S.C. § 12291(a)) when such collaboration and provision of information is consistent with the activities otherwise authorized under this grant program.

Another federal law generally prohibits federal funds awarded by OVW from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. § 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OVW for guidance, and may not proceed without the express prior written approval of OVW.

**18. Compliance with general appropriations-law restrictions on the use of federal funds for this fiscal year**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, for each fiscal year, are set out at <https://www.justice.gov/ovw/award-conditions> (Award Condition: General appropriations-law restrictions on use of federal award funds), and are incorporated by reference here. Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OVW for guidance, and may not proceed without the express prior written approval of OVW.

**19. Reporting Potential Fraud, Waste, and Abuse, and Similar Misconduct**

The recipient and any subrecipients ("subgrantees") must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award -- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by-- (1) mail directed to: Office of the Inspector General, U.S. Department of Justice, Investigations Division, 1425 New York Avenue, N.W. Suite 7100, Washington, DC 20530; and/or (2) the DOJ OIG hotline: (contact information in English and Spanish) at (800) 869-4499 (phone) or (202) 616- 9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

**20. Restrictions and certifications regarding non-disclosure agreements and related matters**

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the

reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

1. In accepting this award, the recipient—

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both—

a. it represents that—

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

**21. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)**

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

**22. Encouragement of policies to ban text messaging while driving**

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

**23. Availability of general terms and conditions on OVW website**

The recipient agrees to follow the applicable set of general terms and conditions that are available at <https://www.justice.gov/ovw/award-conditions>. These do not supersede any specific conditions in this award document.

**24. Compliance with statutory and regulatory requirements**

The recipient agrees to comply with all relevant statutory and regulatory requirements, which may include, among other relevant authorities, the Violence Against Women Act of 1994, P.L. 103-322, the Violence Against Women Act of 2000, P.L. 106-386, the Violence Against Women and Department of Justice Reauthorization Act of 2005, P.L. 109-162, the Violence Against Women Reauthorization Act of 2013, P.L. 113-4, the Omnibus Crime Control and Safe Streets Act of 1968, 34 U.S.C. §§ 10101 et seq., and OVW's implementing regulations at 28 C.F.R. Part 90.

**25. Compliance with solicitation requirements**

The recipient agrees that it must be in compliance with requirements outlined in the solicitation under which the approved application was submitted. The program solicitation is hereby incorporated by reference into this award.

**26. VAWA 2013 nondiscrimination condition**

The recipient acknowledges that 34 U.S.C. § 12291(b)(13) prohibits recipients of OVW awards from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. The recipient agrees that it will comply with this provision. The recipient also agrees to ensure that any subrecipients ("subgrantees") at any tier will comply with this provision.

**27. Misuse of award funds**

The recipient understands and agrees that misuse of award funds may result in a range of penalties, including suspension of current and future funds, suspension or debarment from federal grants, recoupment of monies provided under an award, and civil and/or criminal penalties.

**28. Limitation on use of funds to approved activities**

The recipient agrees that grant funds will be used only for the purposes described in the recipient's application, unless OVW determines that any of these activities are out of scope or unallowable. The recipient must not undertake any work or activities that are not described in the recipient's application, award documents, or approved budget, and must not use staff, equipment, or other goods or services paid for with grant funds for such work or activities, without prior written approval, via Grant Adjustment Notice (GAN), from OVW.

**29. Non-Supplantation**

The recipient agrees that grant funds will be used to supplement, not supplant, non-federal funds that would otherwise be available for the activities under this grant.

**30. Confidentiality and information sharing**

The recipient agrees to comply with the provisions of 34 U.S.C. § 12291(b)(2), nondisclosure of confidential or private information, which includes creating and maintaining documentation of compliance, such as policies and procedures for release of victim information. The recipient also agrees to ensure that all subrecipients ("subgrantees") at any tier meet these requirements.

### **31. Activities that compromise victim safety and recovery and undermine offender accountability**

The recipient agrees that grant funds will not support activities that compromise victim safety and recovery or undermine offender accountability, such as: procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived sex, age, immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, or the age and/or sex of their children; procedures or policies that compromise the confidentiality of information and privacy of persons receiving OVW-funded services; procedures or policies that impose requirements on victims in order to receive services (e.g., seek an order of protection, receive counseling, participate in couples' counseling or mediation, report to law enforcement, seek civil or criminal remedies, etc.); procedures or policies that fail to ensure service providers conduct safety planning with victims; project design and budgets that fail to account for the access needs of participants with disabilities and participants who have limited English proficiency or are Deaf or hard of hearing; or any other activities outlined in the solicitation under which the approved application was submitted.

### **32. Termination or suspension for cause**

The Director of OVW, upon a finding that there has been substantial failure by the recipient to comply with applicable laws, regulations, and/or the terms and conditions of the award or relevant solicitation, will terminate or suspend until the Director is satisfied that there is no longer such failure, all or part of the award, in accordance with the provisions of 28 C.F.R. Part 18, as applicable mutatis mutandis.

### **33. Maintaining contact information**

The recipient acknowledges that it is responsible for maintaining updated contact information in the Grants Management System (GMS). To update information in GMS for either the point of contact and/or the authorized representative, the recipient must submit a Grant Adjustment Notice (GAN).

### **34. Performance progress reports and final report submission (state formula program awards)**

The recipient agrees to provide OVW with specific information regarding subawards ("subgrants") made under this award. The recipient agrees to submit an annual report that includes: a) an assessment of whether stated goals and objectives were achieved; b) information on the effectiveness of activities carried out with grant funds, including the number of persons served and the number of persons seeking services who could not be served; c) information on each subaward made; and (d) such other information as OVW may prescribe. Recipients are required to submit this report after the end of each calendar year but no later than March 30 each year. Recipients and subrecipients must use the designated forms and/or systems made available by OVW for performance reporting, which identify the information that recipients and subrecipients must collect and report as a condition of receiving funding under this award.

A final report is due 90 days after the end of the project period. This report must be submitted to OVW through the Grants Management System with the Report Type marked "final," unless and until OVW issues updated instructions for report submission.

### **35. Reports: Quarterly financial status reports**

The recipient agrees that it will submit quarterly financial status reports to OVW through the Grants Management System (GMS) (at <https://grants.ojp.usdoj.gov>) using the SF 425 Federal Financial Report form (available for viewing at <https://www.grants.gov/web/grants/forms/post-award-reportingforms.html#sortby=1>), not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the award period.

### **36. Subrecipient program income (state formula program awards)**

The recipient understands and agrees that it has responsibility for approval of program income earned by subrecipients. Program income, as defined by 2 C.F.R. 200.80, means gross income earned by a nonfederal entity that is directly generated by a supported activity or earned as a result of the federal award during the period of performance. Without prior approval, program income must be deducted from total allowable costs to determine the net allowable costs. In order to add program income to a subaward, subrecipients must seek approval from the recipient prior to generating any program income. Any program income added to a subaward must be used to

support activities that were approved in the budget and follow the conditions of the subaward agreement. Any program income approved by the recipient must be reported by the subrecipient to the recipient so that it is reported on the quarterly Federal Financial Report (SF-425) in accordance with the addition alternative. If the program income amount changes (increases or decreases) during the project period, the recipient must provide approval by the end of the project period. Failure to comply with these requirements may result in audit findings for both the recipient and the subrecipient.

### **37. FFATA reporting subawards and executive compensation**

The recipient agrees to comply with applicable requirements to report first-tier subawards ("subgrants") of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the Federal Funding Accountability and Transparency Act of 2006 (FFATA) Subaward Reporting System (FSRS). The details of recipient obligations, which derive from FFATA, are posted on the OVW web site at <https://www.justice.gov/ovw/grantees#award-conditions> (Award Condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

### **38. Copyrighted works**

Pursuant to 2 C.F.R. 200.315(b), the recipient may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under this award. OVW reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work, in whole or in part (including in the creation of derivative works), for federal purposes, and to authorize others to do so.

OVW also reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use, in whole or in part (including in the creation of derivative works), any work developed by a subrecipient ("subgrantee") of this award, for federal purposes, and to authorize others to do so.

In addition, the recipient (or subrecipient, contractor, or subcontractor of this award at any tier) must obtain advance written approval from the OVW program manager assigned to this award, and must comply with all conditions specified by the program manager in connection with that approval, before: 1) using award funds to purchase ownership of, or a license to use, a copyrighted work; or 2) incorporating any copyrighted work, or portion thereof, into a new work developed under this award.

It is the responsibility of the recipient (and of each subrecipient, contractor, or subcontractor as applicable) to ensure that this condition is included in any subaward, contract, or subcontract under this award.

### **39. Required SAM and FAPIIS reporting (Cumulative amount under award number exceeds \$500,000)**

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OVW award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OVW awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to FAPIIS within SAM are posted on the OVW web site at: <https://www.justice.gov/ovw/grantees> (Award Condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

INITIAL HERE: MKH

ATTACHMENT C

**Civil Rights/Nondiscrimination Provisions**

1. **Subrecipient will comply** (and will require any subgrantees or contractors to comply) **with any applicable statutorily-imposed nondiscrimination requirements**, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. §10228); the Victims of Crime Act (34 U.S.C. § 20110(e)); The Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. § 11182(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Rehabilitation Act of 1973 (29 U.S.C. § 794); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); 28 C.F.R. pt. 31 (U.S. Department of Justice Regulations –OJJDP Grant Programs); 28 C.F.R. pt. 42 (U.S. Department of Justice Regulations – Nondiscrimination; Equal Employment Opportunity; Policies and Procedures); see Ex. Order 13279 (equal protection of the laws for faith-based and community organizations); and 28 C.F.R. pt. 38 (Partnerships with Faith-Based and Other Neighborhood Organizations).
2. **In the event a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing** on the grounds of race, color, religion, national origin, or sex against a subrecipient of funds, the subrecipient will forward a copy of the findings to the Wisconsin Department of Justice (DOJ).
3. **Subrecipient will provide an Equal Employment Opportunity Plan (EEO) to the Office for Civil Rights, Office of Justice Programs and the DOJ, and if required, an EEO Utilization Report**; otherwise, it will provide a certification to the Office for Civil Rights, Office of Justice Programs and the DOJ that it has a current EEO on file, if required to maintain one. For grantee agencies receiving less than \$25,000, or grantee agencies with less than 50 employees, regardless of the amount of the award, no EEO is required. Information about civil rights obligations of grantees can be found at <http://www.ojp.usdoj.gov/ocr/>.
4. **The subrecipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan** (if subrecipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the subrecipient is in compliance.
5. As clarified by Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with the Omnibus Crime Control and Safe Streets Act of 1968 and Title VI of the Civil Rights Act of 1964, subrecipient must take reasonable steps to ensure that LEP persons have meaningful access to its programs. Meaningful access may entail providing language assistance services, including oral and written translation, where necessary. Subrecipient is encouraged to consider the need for language services for LEP persons served or encountered both in developing its budgets and in conducting its programs and activities. Additional assistance and information regarding your LEP obligations can be found at <http://www.lep.gov>.
6. **In accordance with federal civil rights laws, the subrecipient shall not retaliate against individuals** for taking action or participating in action to secure rights protected by these laws.

CERTIFICATION

I certify that subgrantee will comply with the above-certified assurances.

\_\_\_\_\_  
Joe Parisi, County Executive

\_\_\_\_\_  
Date

## ATTACHMENT D

### **CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Acceptance of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "DOJ Implementation of OMB Guidance on Nonprocurement Debarment and Suspension," and 28 CFR Part 83, "Government-wide Debarment and Suspension," and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

#### **1. LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-subrecipients shall certify and disclose accordingly.

#### **2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT SUBRECIPIENT)**

Pursuant to Executive Order 12549, Debarment and Suspension, implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Section 2867.20(a), and other requirements:

- A. The applicant certifies that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;



- (c) Have not within a two-year period preceding this application been convicted of a felony criminal violation under any Federal law, unless such felony criminal conviction has been disclosed in writing to the Office of Justice Programs (OJP) at [Ojpcompliancereporting@usdoj.gov](mailto:Ojpcompliancereporting@usdoj.gov), and, after such disclosure, the applicant has received a specific written determination from OJP that neither suspension nor debarment of the applicant is necessary to protect the interests of the Government in this case.
- (d) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (e) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

### **3. FEDERAL TAXES**

A. If the applicant is a corporation, the applicant certifies that either (1) the corporation has no unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, or (2) the corporation has provided written notice of such an unpaid tax liability (or liabilities) to OJP at [Ojpcompliancereporting@usdoj.gov](mailto:Ojpcompliancereporting@usdoj.gov), and, after such disclosure, the applicant has received a specific written determination from OJP that neither suspension nor debarment of the applicant is necessary to protect the interests of the Government in this case.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

### **4. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, Subpart F, for grantees, as defined at 28 CFR Sections 83.620 and 83.650:

- A. The applicant certifies that it will or will continue to provide a drug-free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - (b) Establishing an on-going drug-free awareness program to inform employees about
    - (1) The dangers of drug abuse in the workplace;
    - (2) The grantee's policy of maintaining a drug-free workplace;
    - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
    - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
  - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
  - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will
    - (1) Abide by the terms of the statement; and
    - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
  - (e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office



of Justice Programs, ATTN: Control Desk, 810 7th Street, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

**CERTIFICATION**

I certify that Subgrantee will comply with the above-certified assurances.

\_\_\_\_\_  
Joe Parisi, County Executive

\_\_\_\_\_  
**Date**